

#### **Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

## 1. Identifying information.

## 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes"

## 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

## 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

## Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### Definitions.

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally [but not always] paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

**Other:** Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued **Issued:** The patent has been issued by the agency

 $\label{licensed:licensed} \textbf{Licensed:} \ \ \text{The patent has been licensed to an entity, whether}$ 

earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your

patent



| Section 1. Identifying Inform                                                                                                      | otion                 |                   |                        |            |                                                           |
|------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-------------------|------------------------|------------|-----------------------------------------------------------|
| Identifying Information                                                                                                            | ation                 |                   |                        |            |                                                           |
| 1. Given Name (First Name)<br>Matteo                                                                                               | 2. Surnai<br>Bassetti | me (Last Nar      | me)                    |            | 3. Date<br>06-May-2020                                    |
| 4. Are you the corresponding author?                                                                                               | ✓ Yes                 | No                |                        |            |                                                           |
| 5. Manuscript Title<br>A brief note on randomized controlled to<br>pandemic                                                        | rials and o           | compassion        | nate/off-label dru     | gs in the  | early phases of the COVID-19                              |
| 6. Manuscript Identifying Number (if you kno                                                                                       | ow it)                |                   |                        |            |                                                           |
|                                                                                                                                    |                       |                   |                        |            |                                                           |
|                                                                                                                                    |                       |                   |                        |            |                                                           |
| Section 2. The Work Under Co                                                                                                       | nsidera               | tion for P        | ublication             |            |                                                           |
| Did you or your institution <b>at any time</b> received any aspect of the submitted work (including statistical analysis, etc.)?   |                       |                   |                        |            |                                                           |
| Are there any relevant conflicts of interes                                                                                        | st?                   | Yes ✓             | No                     |            |                                                           |
|                                                                                                                                    |                       |                   |                        |            |                                                           |
| Continue                                                                                                                           |                       |                   |                        |            |                                                           |
| Section 3. Relevant financial a                                                                                                    | ctivitie              | outside           | the submitted          | work.      |                                                           |
| Place a check in the appropriate boxes ir<br>of compensation) with entities as descrik<br>clicking the "Add +" box. You should rep | ed in the             | instructio        | ns. Use one line fo    | or each er | ntity; add as many lines as you need by                   |
| Are there any relevant conflicts of interes                                                                                        | st?                   | Yes               | No                     |            |                                                           |
| If yes, please fill out the appropriate info                                                                                       | rmation b             | elow.             |                        |            |                                                           |
| Name of Entity                                                                                                                     | Grant?                | Personal<br>Fees? | Non-Financial Support? | Other?     | Comments                                                  |
| Angelini                                                                                                                           |                       | <b>✓</b>          |                        | <b>✓</b>   | Advisory board, travel funding, honoraria for speaking    |
| AstraZeneca                                                                                                                        |                       | <b>✓</b>          |                        | <b>✓</b>   | Advisory board, travel funding,<br>honoraria for speaking |
| Bayer                                                                                                                              |                       |                   |                        | <b>✓</b>   | Advisory board                                            |
| Cubist                                                                                                                             |                       | <b>✓</b>          |                        | <b>✓</b>   | Advisory board, travel funding,<br>honoraria for speaking |
| Pfizer                                                                                                                             |                       | <b>✓</b>          |                        | <b>✓</b>   | Advisory board, travel funding, honoraria for speaking    |
| Menarini                                                                                                                           |                       | <b>✓</b>          |                        | <b>✓</b>   | Advisory board, travel funding,                           |



|          | Fees?      | Support?         | Other?                  | Comments                                                  |
|----------|------------|------------------|-------------------------|-----------------------------------------------------------|
|          | <b>✓</b>   |                  | <b>✓</b>                | Advisory board, travel funding, honoraria for speaking    |
|          |            |                  | <b>✓</b>                | Advisory board                                            |
|          |            |                  | <b>✓</b>                | Advisory board                                            |
|          |            |                  | <b>✓</b>                | Advisory board                                            |
|          |            |                  | <b>✓</b>                | Advisory board                                            |
|          |            |                  | <b>✓</b>                | Advisory board                                            |
|          |            |                  | <b>✓</b>                | Advisory board                                            |
|          | <b>✓</b>   |                  | <b>✓</b>                | Advisory board, travel funding,<br>honoraria for speaking |
|          | <b>✓</b>   |                  |                         | Travel funding, honoraria for speaking                    |
|          | <b>✓</b>   |                  |                         | Travel funding, honoraria for speaking                    |
|          | <b>✓</b>   |                  |                         | Travel funding, honoraria for speaking                    |
| <b>V</b> |            |                  |                         | Travel funding, honoraria for speaking                    |
|          | <b>✓</b>   |                  |                         | Travel funding, honoraria for speaking                    |
|          | <b>✓</b>   |                  |                         | Travel funding, honoraria for speaking                    |
|          | <b>✓</b>   |                  |                         | Travel funding, honoraria for speaking                    |
| ty Pate  | ents & Cop | oyrights         |                         |                                                           |
|          | ty Pate    | ty Patents & Cop | ty Patents & Copyrights |                                                           |



| Section 5. Relationships not covered above                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| Yes, the following relationships/conditions/circumstances are present (explain below):                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| ✓ No other relationships/conditions/circumstances that present a potential conflict of interest                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statement on occasion, journals may ask authors to disclose further information about reported relationships.                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| Section 6. Disclosure Statement                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| Dr. Bassetti reports personal fees and other from Angelini, personal fees and other from AstraZeneca, other from Bayer, personal fees and other from Cubist, personal fees and other from Pfizer, personal fees and other from Menarini, personal fees and other from MSD, other from Nabriva, other from Paratek, other from Roche, other from Shionogi, other from Tetraphase, other from The Medicine Company, personal fees and other from Astellas Pharma Inc., personal fees from Gilead Sciences, personal fees from Teva, personal fees from Novartis, grants from Ranbaxy, personal fees from Correvio, personal fees from Molteni, personal fees from Thermo Fisher, outside the submitted work; |

## **Evaluation and Feedback**

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| Section 1. Identifying Inform                                            | nation                                                      |                                                                                                                                                                                  |
|--------------------------------------------------------------------------|-------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Given Name (First Name)<br>Paolo                                      | 2. Surname (Last Name)<br>Pelosi                            | 3. Date<br>06-May-2020                                                                                                                                                           |
| 4. Are you the corresponding author?                                     | ☐ Yes 🗸 No                                                  | Corresponding Author's Name<br>Matteo Bassetti                                                                                                                                   |
| 5. Manuscript Title<br>A brief note on randomized controlled<br>pandemic | trials and compassionate/o                                  | off-label drugs in the early phases of the COVID-19                                                                                                                              |
| 6. Manuscript Identifying Number (if you kr                              | now it)                                                     |                                                                                                                                                                                  |
|                                                                          |                                                             |                                                                                                                                                                                  |
| Section 2. The Work Under C                                              | onsideration for Public                                     | cation                                                                                                                                                                           |
|                                                                          | g but not limited to grants, da                             | a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,                                                 |
|                                                                          |                                                             |                                                                                                                                                                                  |
| Section 3. Relevant financial                                            | activities outside the s                                    | submitted work.                                                                                                                                                                  |
| of compensation) with entities as descr                                  | ibed in the instructions. Us<br>port relationships that wer | ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication. |
|                                                                          |                                                             |                                                                                                                                                                                  |
| Section 4. Intellectual Proper                                           | rty Patents & Copyric                                       | ghts                                                                                                                                                                             |
| Do you have any patents, whether plan                                    | ned, pending or issued, br                                  | oadly relevant to the work? Yes V No                                                                                                                                             |

Pelosi 2



| Section 5.        |                                                                                                                                                                                                         |
|-------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Section 5.        | Relationships not covered above                                                                                                                                                                         |
|                   | elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?                                                 |
| Yes, the follo    | wing relationships/conditions/circumstances are present (explain below):                                                                                                                                |
| ✓ No other rela   | tionships/conditions/circumstances that present a potential conflict of interest                                                                                                                        |
|                   | anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements<br>rnals may ask authors to disclose further information about reported relationships. |
| Section 6.        | Disclosure Statement                                                                                                                                                                                    |
| Based on the abo  | ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box                                                                                             |
| Dr. Pelosi has no | othing to disclose.                                                                                                                                                                                     |

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| Section 1. Identifying Inform                                                             | nation                                                       |                                                                                                                                                                                          |
|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Given Name (First Name)<br>Chiara                                                      | 2. Surname (Last Name)<br>Robba                              | 3. Date<br>06-May-2020                                                                                                                                                                   |
| 4. Are you the corresponding author?                                                      | Yes No                                                       | Corresponding Author's Name<br>Matteo Bassetti                                                                                                                                           |
| <ol><li>Manuscript Title</li><li>A brief note on randomized controlled pandemic</li></ol> | trials and compassionate/                                    | off-label drugs in the early phases of the COVID-19                                                                                                                                      |
| 6. Manuscript Identifying Number (if you k                                                | now it)                                                      |                                                                                                                                                                                          |
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| Section 2. The Work Under C                                                               | Consideration for Public                                     | cation                                                                                                                                                                                   |
|                                                                                           | g but not limited to grants, da                              | a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,                                                         |
| Section 3. Relevant financia                                                              | activities outside the s                                     | submitted work.                                                                                                                                                                          |
| of compensation) with entities as desc                                                    | ribed in the instructions. Use<br>port relationships that we | ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re <b>present during the 36 months prior to publication</b> . |
| Section 4. Intellectual Prope                                                             | rty Patents & Copyri                                         | ghts                                                                                                                                                                                     |
| Do you have any patents, whether plan                                                     | nned, pending or issued, br                                  | roadly relevant to the work? Yes V No                                                                                                                                                    |

Robba 2



| Section 5. Polotionskips not sovered above                                                                                                                                                                                            |
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| Relationships not covered above                                                                                                                                                                                                       |
| Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?                                             |
| Yes, the following relationships/conditions/circumstances are present (explain below):                                                                                                                                                |
| ✓ No other relationships/conditions/circumstances that present a potential conflict of interest                                                                                                                                       |
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| Section 6. Disclosure Statement                                                                                                                                                                                                       |
|                                                                                                                                                                                                                                       |
| Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.                                                                                                     |
| Dr. Robba has nothing to disclose.                                                                                                                                                                                                    |

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| Section 1. Identifying Inform                                                             | nation                                                      |                                                                                                                                                                                          |
|-------------------------------------------------------------------------------------------|-------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Given Name (First Name)<br>Antonio                                                     | 2. Surname (Last Name)<br>Vena                              | 3. Date<br>06-May-2020                                                                                                                                                                   |
| 4. Are you the corresponding author?                                                      | Yes Vo                                                      | Corresponding Author's Name<br>Matteo Bassetti                                                                                                                                           |
| <ol><li>Manuscript Title</li><li>A brief note on randomized controlled pandemic</li></ol> | trials and compassionate/                                   | off-label drugs in the early phases of the COVID-19                                                                                                                                      |
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| Section 2. The Work Under C                                                               | onsideration for Publi                                      | cation                                                                                                                                                                                   |
|                                                                                           | g but not limited to grants, da                             | a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,                                                         |
| Section 3. Relevant financial                                                             | activities outside the                                      | submitted work.                                                                                                                                                                          |
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| Section 4. Intellectual Prope                                                             | rty Patents & Copyri                                        | ghts                                                                                                                                                                                     |
| Do you have any patents, whether plan                                                     | nned, pending or issued, bi                                 | roadly relevant to the work? Yes V No                                                                                                                                                    |

Vena 2



| Section 5.       |                                                                                                                                                                                                          |
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| Section 5.       | Relationships not covered above                                                                                                                                                                          |
|                  | elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?                                                   |
| Yes, the follow  | wing relationships/conditions/circumstances are present (explain below):                                                                                                                                 |
| ✓ No other rela  | tionships/conditions/circumstances that present a potential conflict of interest                                                                                                                         |
|                  | anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements.<br>rnals may ask authors to disclose further information about reported relationships. |
|                  |                                                                                                                                                                                                          |
| Section 6.       | Disclosure Statement                                                                                                                                                                                     |
| Based on the abo | ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box                                                                                              |
| Dr. Vena has not | hing to disclose.                                                                                                                                                                                        |

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| Section 1. Identifying Inform                                                                                                                                                     | ation                                                                |                          |                                                                                                                                              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Given Name (First Name)<br>Daniele Roberto                                                                                                                                     | 2. Surname (Last Name)<br>Giacobbe                                   |                          | 3. Date<br>06-May-2020                                                                                                                       |
| 4. Are you the corresponding author?                                                                                                                                              | Yes ✓ No Corresponding Author's Name  Matteo Bassetti                |                          |                                                                                                                                              |
| 5. Manuscript Title<br>A brief note on randomized controlled t<br>pandemic                                                                                                        | trials and compassionate                                             | off-label drugs ir       | the early phases of the COVID-19                                                                                                             |
| 6. Manuscript Identifying Number (if you kn                                                                                                                                       | ow it)                                                               |                          |                                                                                                                                              |
|                                                                                                                                                                                   |                                                                      | _                        |                                                                                                                                              |
| Section 2. The Work Under Co                                                                                                                                                      | onsideration for Publ                                                | ication                  |                                                                                                                                              |
| Did you or your institution <b>at any time</b> recei<br>any aspect of the submitted work (including<br>statistical analysis, etc.)?<br>Are there any relevant conflicts of intere | but not limited to grants, c                                         |                          | ernment, commercial, private foundation, etc.) for<br>ird, study design, manuscript preparation,                                             |
| Section 3. Relevant financial                                                                                                                                                     | activities outside the                                               | submitted wor            | k.                                                                                                                                           |
|                                                                                                                                                                                   | bed in the instructions. Uport relationships that we est?   Yes   No | Jse one line for ea      | inancial relationships (regardless of amount<br>ch entity; add as many lines as you need by<br><b>g the 36 months prior to publication</b> . |
| Name of Entity                                                                                                                                                                    | Grant                                                                | on-Financial<br>Support? | ner? Comments                                                                                                                                |
| Stepstone Pharma GmbH                                                                                                                                                             |                                                                      |                          | Honoraria for consultation                                                                                                                   |
| MSD Italia                                                                                                                                                                        |                                                                      |                          | Unconditional grant                                                                                                                          |
| Correvio Italia                                                                                                                                                                   |                                                                      |                          | Unconditional grant                                                                                                                          |
| Section 4. Intellectual Proper  Do you have any patents, whether plans                                                                                                            | ty Patents & Copyri                                                  |                          | o the work? Yes 🗸 No                                                                                                                         |
| 20 you have any pateries, whether plant                                                                                                                                           | nea, penanty of 133ueu, t                                            | roddiy relevant to       | Alle Work. Tes V 140                                                                                                                         |

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| Cartina 5        |                                                                                                                                                                                                         |
|------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Section 5.       | Relationships not covered above                                                                                                                                                                         |
|                  | elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?                                                  |
| Yes, the follow  | wing relationships/conditions/circumstances are present (explain below):                                                                                                                                |
| ✓ No other rela  | tionships/conditions/circumstances that present a potential conflict of interest                                                                                                                        |
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| Section 6.       | Disclosure Statement                                                                                                                                                                                    |
| Based on the abo | ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box                                                                                             |
|                  | ports personal fees from Stepstone Pharma GmbH, personal fees from MSD Italia, personal fees from outside the submitted work; .                                                                         |

## **Evaluation and Feedback**

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